

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rajeev A. JAIN et al.
Title: CONTROLLED RELEASE NANOPARTICULATE COMPOSITIONS
Appl. No.: 09/337,675
Filing Date: 6/22/1999
Examiner: Susan T. Tran
Art Unit: 1615
Confirmation Number: 9275

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of a document known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed document is being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

RELEVANCE OF EACH DOCUMENT

Listed on the attached PTO/SB/08 are two Office Actions cited in related U.S. Patent Application Nos. 11/979,240, dated December 16, 2009 and 10/667,470, dated December 29, 2009. The references cited by the Examiners in these Office Actions are also listed on the PTO/SB/08, unless they were already cited in the present specification.

Also listed is a Notice of Reasons for Rejections from related Japanese Patent Application No. 2004-510760, dated December 2, 2009, and the references that were cited in that document. Please note that English language and/or U.S. counterparts have been provided for the non-English language Japanese references listed in the Notice of Reasons for Rejections. Document No. A4 (JP 2001-509518) in the Reasons for Rejections is not listed because the English language equivalent, *i.e.*, WO 99/02665, was cited in an Information Disclosure Statement in the captioned application previously.

Also listed is a Notice of Reasons for Rejection from related Japanese Patent Application No. 2004-521891, dated December 22, 2009. English language equivalents and/or English language translations have been provided for the foreign language documents.

Please note that JP 63-240936 and JP 6-227967 are not listed on the PTO/SB/08. These references were already cited in the present application.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

STATEMENT

The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) that information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the information disclosure statement.

Although Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account No. 19-0741.

Respectfully submitted,

Date: January 29, 2010

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